FORM PTO-1449 (Modified)

ATTY DOCKET NO. ENR100/4-17

FILING DATE

SERIAL NO.

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT APPLICANT Louise J. Kitchen et al.

(Use several sheets if necessary)

GROUP 3694

U.S. PATENT DOCUMENTS REFERENCE DESIGNATION FILING DATE **EXAMINER** DOCUMENT NUMBER NAME CLASS SUBCLASS IF APPROPRIATE DATE INITIALS SM 364 408 6 0 06/16/87 Kalmus et al. AB 364 408 7 3 9 8 04/19/88 Roberts et al. 4 7 AC 7 5 6 364 408 0 06/14/88 Lucas et al. 4 AD 364 408 0 7 5 7 6 6 5 12/31/91 Silverman et al. ΑE 7 2 2 03/03/98 395 237 5 5 Hunt et al. ΑJ 5 7 705 23 9 2 0 7 08/11/98 Walker et al. 4 AJ 705 37 8 2 12/01/98 5 4 5 6 5 Woolston et al. ΑF 705 37 5 9 5 0 1 7 8 09/07/99 **Borgato** 26 AG 5 9 6 0 09/28/99 Hartman et al. 705 4 1 1 ΑН 10/19/99 705 37 5 9 7 0 4 7 9 Shepherd Αl 705 35 0 2 9 02/22/00 Hawkins et al. 6 1 4 6 37 6 0 5 8 3 7 9 05/02/00 Odom et al. 705 **FOREIGN PATENT DOCUMENTS** TRANSLATION? **DOCUMENT NUMBER** COUNTRY CLASS **SUBCLASS** DATE YES NO ΑL ΑN ART (including Author, Title, Date, Pertinent Pages, Etc.) **OTHER** In re Application of Wait - 28 U.S.P.Q. 88 (C.C.P.A 1934) SM AR AS ΑT DATE CONSIDERED **EXAMINER** 12/12/2006 /Shahid Merchant/

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next comunication to applicant.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known				
Application Number	09/895,092			
Filing Date	06/29/2001			
First Named Inventor Louise J. Kitchen				
Art Unit	2104 3694			
Examiner Name	/Shahid Merchant/			
Attorney Docket Number	ENR100/4-17			

			U.S. PAT	ENT DOCUMENTS	
	1	Document Number Number - Kind Code ² (if known	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
SM		us- 5873069	02-16-1999	Reuhl et al.	
		us- 5835896	11-10-1998	Fisher et al.	
	<u> </u>	us- 5794219	08-11-1998	Brown	
		us- 5794207	08-11-1998	Walker et al.	RECEIVED
ΔL		us- 5727165	03-10-1998	Ordish et al.	, ILOLIA C
<u> </u>	L	us- 4992940	02-12-1991	Dworkin	
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		FORE	IGN PATENT	OCUMENTS		
Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code ³ (# Innown)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relavant Passages or Relevant Figures Appear	T,
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Examiner Signature	/Shahid Merchant/	Date Considered	12/12/2006

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English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) of 2 Sheet

Complete if Known				
Application Number	09/895,0	92		
Filing Date	06/29/200)1		
First Named Inventor	Louise J.	Kitchen		
Group Art Unit	2104		594	
Examiner Name	$\prod J$	Shahid	Merchant	
Attorney Docket Number	ENR1	00/4-17		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials					
SM		In re Application of Wait - 24 U.S.P.Q. 88 (C.C.P.A. 1934).			
SM		"Altra to acquire QuickTrade electronic trade system", Megawatt Daily, Vol. 4, Issue 10, 01/15/1999.			
SM		McKinnon, "Atra, QuickTrade merger to boost market penetration", Financial Post, 01/15/1999.			
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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.